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GOD**

117180



Shiraz University

Faculty of Sciences

**Ph.D. Thesis in Mathematics – Analysis**

**ON THE COMMUTANT AND NORM OF CERTAIN  
MULTIPLICATION OPERATORS AND COMPOSITION  
OPERATORS ON CERTAIN BANACH SPACE OF  
ANALYTIC FUNCTIONS**

By

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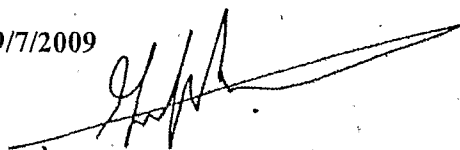
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June 2009

To my wife

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## ABSTRACT

### ON THE COMMUTANT AND NORM OF CERTAIN MULTIPLICATION OPERATORS AND COMPOSITION OPERATORS ON CERTAIN BANACH SPACE OF ANALYTIC FUNCTIONS

By

Mahmood Haji Shaabani

In the first chapter, we give some background information including definitions of various spaces of analytic functions and properties of certain operators. We will also give some theorems and examples needed for the chapters that follow.

In chapter II, we obtain the norm of a composition operator  $C_\varphi$  on the Hardy space  $H^2$ , whenever  $\varphi$  is a linear fractional mapping of the form  $\varphi(z) = \frac{1}{cz+d}$ . In fact extend some results obtained by P. Bourdon, E. Fry, C. Hammond and C. Spofford ([4]).

In chapter III, we obtain a representation for the norm of certain compact weighted composition operator  $C_{\psi, \varphi}$  on the Hardy space  $H^2$ , whenever  $\varphi(z) = az + b$  and  $\psi(z) = az - b$ . We also estimate the norm and the essential norm of a class of noncompact weighted composition operators under certain conditions on  $\varphi$  and  $\psi$ . Moreover, we determine the exact values of the norm and the essential norm of such operators in a special case. In chapter IV, we investigate which Toeplitz operators, Hankel operators and multiplication operators commute with a given composition operator on  $H^2(\beta)$  such that



$\varphi: D \rightarrow D$  is an analytic map, which is neither an elliptic disc automorphism of finite periodicity nor the identity map. We prove that the commutant of a simple composition operator is actually the strong operator closure of the polynomials in  $C_\varphi$ . Also, when  $S$  is a bounded linear operator in the commutant of  $C_\varphi$ , we show that under certain conditions,  $S$  is a polynomial in  $C_\varphi$ .

In chapter V, we investigate which Toeplitz operators and multiplication operators commute with a given composition operator  $C_\varphi$  on  $A_\alpha^p$  such that  $\varphi: D \rightarrow D$  is an analytic map which is neither an elliptic disc automorphism of finite periodicity nor the identity map. Let  $S$  be a bounded linear operator in the commutant of  $C_\varphi$ . We show that under certain conditions,  $S$  is a polynomial in  $C_\varphi$ .

In chapter VI, we investigate the commutant of the operator  $M_\varphi$  for certain function  $\varphi \in M(B)$ . In particular, when  $\varphi$  is a polynomial or a rational function with poles off  $\overline{G}$ , under certain conditions on the coefficients, we show that  $\{M_\varphi\}' = \{M_z\}'$ . In [17],  $\check{Z}.\check{C}u\check{c}kovic'$  and Dashan Fan have shown that, if  $G = \{z \in \mathbb{C} : T < |z| < 1\}$ ,  $B = L_a^2(G)$  and  $p(z) = z + a_2 z^2 + \dots + a_n z^n$ , where  $a_i \geq 0$  and  $p(z) - p(1)$  has  $n$  distinct zeros, then  $\{M_p\}' = \{M_\psi : \psi \in M(B)\}$ . As a result, Theorem 6.2.7 extends the result obtained in [17] to Banach space of analytic functions on various domains  $G$  and certain polynomial or rational symbols. Also we extend the results obtained in [30]. Moreover, in both papers the authors used the condition that zeros of the function  $p$  outside  $\overline{G}$  are distinct which we omit this condition.

# Contents

1	PRELIMINARIES	1
1.1	Preliminaries	2
2	ON THE NORM OF COMPOSITION OPERATORS WITH CERTAIN LINEAR FRACTIONAL SYMBOL	14
2.1	Introduction	15
2.2	Composition operators with symbol $\varphi(z) = \frac{1}{cz+d}$	16
3	ON THE NORM OF CERTAIN WEIGHTED COMPOSITION OPERATORS ON THE HARDY SPACE	23
3.1	Introduction	24
3.2	Norm calculation	25
4	TOEPLITZ OPERATORS AND HANKEL OPERATORS IN THE COMMUTANT OF CERTAIN COMPOSITION OPERATORS ON WEIGHTED HARDY SPACES	38
4.1	Introduction	39
4.2	Toeplitz operators in the commutant of composition operator	40
4.3	Hankel operators in the commutant of composition operators	47

4.4	Some properties of the commutant of composition operators on weighted Hardy spaces . . . . .	49
5	TOEPLITZ OPERATORS AND MULTIPLICATION OPERATORS IN THE COMMUTANT OF CERTAIN COMPOSITION OPERATORS ON WEIGHTED BERGMAN SPACES	55
5.1	Introduction . . . . .	56
5.2	Toeplitz operators in the commutant of a composition operator .	57
5.3	Some properties of the commutant of composition operators on weighted Bergman spaces . . . . .	62
6	ON THE COMMUTANT OF MULTIPLICATION OPERATORS WITH ANALYTIC RATIONAL SYMBOL	66
6.1	Introduction . . . . .	67
6.2	On the commutant of multiplication operators with analytic rational symbol . . . . .	69
	REFERENCES	79

# Chapter 1

## PRELIMINARIES

# 1 PRELIMINARIES

## 1.1 Preliminaries

**Definition 1.1.1** Let  $D$  denote the open unit disk in the complex plane. The Hardy space  $H^2$  is the space of all analytic functions defined on  $D$ , whose Taylor coefficients, in the expansion about the origin, are square summable. Also we recall that  $H^\infty$  is the space of all bounded analytic functions defined on  $D$ . For  $\alpha \in D$ , the reproducing kernel at  $\alpha$  for  $H^2$  is defined by  $K_\alpha(z) = \frac{1}{1-\bar{\alpha}z}$ . An easy computation shows that  $\langle f, K_\alpha \rangle = f(\alpha)$ , whenever  $f \in H^2$ . For more information about these spaces one can see [18].

**Definition 1.1.2** Let  $dA$  be the normalized area measure on  $D$ . For  $0 < p < \infty$  and  $-1 < \alpha < \infty$ , the weighted Bergman space  $A_\alpha^p = A_\alpha^p(D)$  of the disk is the space of analytic functions in  $L^p(D, dA_\alpha)$ , where

$$dA_\alpha(z) = (\alpha + 1)(1 - |z|^2)^\alpha dA(z).$$

If  $f$  is in  $L^p(D, dA_\alpha)$  we write

$$\|f\|_{p,\alpha} = \left[ \int_D |f(z)|^p dA_\alpha(z) \right]^{\frac{1}{p}}.$$

When  $1 \leq p < \infty$ , the space  $L^p(D, dA_\alpha)$  is a Banach space and the weighted Bergman space  $A_\alpha^p$  is closed in  $L^p(D, dA_\alpha)$ , so  $A_\alpha^p$  is a Banach space. Let  $L^\infty(D)$  denote the space of essentially bounded functions on  $D$ . For  $f \in L^\infty(D)$ , we define

$$\|f\|_\infty = \text{esssup}\{|f(z)| : z \in D\}.$$

The space  $L^\infty(D)$  is a Banach space with the above norm. As usual, let  $H^\infty$  denote the space of bounded analytic functions on  $D$ . It is clear that  $H^\infty$  is closed in  $L^\infty(D)$  and hence is a Banach space. For more information about these spaces one can see [27].

**Definition 1.1.3** Let  $\{\beta(n)\}$  be a sequence of positive numbers with  $\beta(0) =$

1. We consider the space of sequences  $f = \{\hat{f}(n)\}$  such that

$$\|f\|^2 = \|f\|_\beta^2 = \sum |\hat{f}(n)|^2 [\beta(n)]^2 < \infty.$$

We shall use the notation

$$f(z) = \sum \hat{f}(n)z^n \quad (z \in D). \quad (1.1)$$

If  $n$  ranges only over the nonnegative integers, these are formal power series, otherwise they are formal Laurent series. We shall denote these spaces by  $H^2(\beta)$  (power series case) and  $L^2(\beta)$  (Laurent series case).  $H^2(\beta)$  is called a weighted Hardy space. These notations are suggested by the notations in the classical case  $\beta = 1$ . When we do not wish to distinguish between the two cases, we shall refer to the space as  $H$ . These spaces are Hilbert spaces with the inner product

$$\langle f, g \rangle = \sum \hat{f}(n)\hat{g}(n)[\beta(n)]^2$$

for every  $f$  and  $g$  in  $H$ . Let  $\hat{f}_k(n) = \delta_{nk}$ , for  $n, k \in \mathbb{Z}$ , in the notation of equation (1.1) we have  $f_k(z) = z^k$ . Then  $f_k$  is an orthogonal basis. It is clear that  $\|f_k\| = \beta(k)$ .

We recall that  $H^\infty(\beta)$  denotes the set of formal power series  $\phi(z) = \sum \hat{\phi}(n)z^n$  ( $n \geq 0$ ) such that  $\phi \in H^2(\beta) \subseteq H^2(\beta)$  and  $L^\infty(\beta)$  denotes the set of formal Lau-

rent series  $\phi(z) = \sum \hat{\phi}(n)z^n$  ( $-\infty < n < \infty$ ) such that  $\phi L^2(\beta) \subseteq L^2(\beta)$ . Since  $f_0$  is in both  $L^2(\beta)$  and  $H^2(\beta)$ , and since  $\phi f_0 = \phi$  for all Laurent series  $\phi$ , we see that

$$L^\infty(\beta) \subseteq L^2(\beta) \quad , \quad H^\infty(\beta) \subseteq H^2(\beta).$$

Note that for any formal Laurent series  $\phi$  and each integer  $m$ , we have

$$z^m \phi(z) = \sum \hat{\phi}(k)z^{k+m} = \sum \hat{\phi}(k-m)z^k.$$

Thus, for every  $\phi$  in  $L^2(\beta)$  or  $H^2(\beta)$ ,

$$\langle f_m \phi, f_n \rangle = \hat{\phi}(n-m)[\beta(n)]^2. \quad (1.2)$$

Let  $w \in D$  and let  $\lambda_w$  be the linear functional of point evaluation at  $w$ ; that is,  $\lambda_w(f) = f(w)$  for every  $f$  in  $H^2(\beta)$ . By [45],  $\lambda_w$  is a bounded linear functional and by Riesz representation Theorem

$$\lambda_w(f) = \langle f, K_w \rangle,$$

where

$$K_w(z) = \sum_{j=0}^{\infty} \frac{\bar{w}^j z^j}{\beta(j)^2}.$$

Moreover,

$$\|K_w\|^2 = \sum_{j=0}^{\infty} \frac{(|w|^2)^j}{\beta(j)^2}.$$

For examples of weighted Hardy spaces, let  $A$  be the normalized Lebesgue area measure on  $D$ . For  $\alpha > -1$ , the weighted Dirichlet space  $D_\alpha$  is the collection of all functions  $f$ , holomorphic in  $D$ , for which the complex derivative  $f'$  belongs to  $A_\alpha^2$ . The space  $D_\alpha$  is a Hilbert space with the norm  $\|\cdot\|_{D_\alpha}$ , defined by

$$\|f\|_{D_\alpha}^2 = |f(0)|^2 + \int_D |f'|^2 dA_\alpha.$$

A standard power series computation using integration in polar coordinates and the orthogonality of the monomials  $z^n$  in  $L^2(\partial D)$  shows that a function  $f(z) = \sum_{n=0}^{\infty} a_n z^n$  holomorphic in  $D$ , belongs to  $A_\alpha^2$  if and only if

$$\sum_{n=0}^{\infty} (n+1)^{-1-\alpha} |a_n|^2 < \infty,$$

and belongs to  $D_\alpha$  if and only if

$$\sum_{n=0}^{\infty} (n+1)^{1-\alpha} |a_n|^2 < \infty.$$

A complex valued function  $\varphi$  defined on  $D$  is called a multiplier of  $D_\alpha$  if  $\varphi D_\alpha \subset D_\alpha$  and the collection of all these multipliers is denoted by  $\mathcal{M}(D_\alpha)$ . Note that  $D_1 = H^2$  and for  $\alpha > -1$ ,  $D_{\alpha+2} = A_\alpha^2$  and, in addition, if  $-1 < \alpha < 1$ ,  $D_\alpha$  is a subset of  $H^2$ . For  $\alpha \geq 1$ ,  $\mathcal{M}(D_\alpha) = H^\infty$ . For each analytic map  $\varphi : D \rightarrow D$ , the composition operator  $C_\varphi$  on  $D_\alpha$  is defined by  $C_\varphi(f) = f \circ \varphi$ . Let  $\varphi$  be an analytic self-map of the unit disk and  $\alpha \geq 1$ . Then automatically  $\{\varphi^{[n]}, n \in \mathbb{N}\}$  is bounded in  $D_\alpha$  and  $C_\varphi$  is a bounded operator on  $D_\alpha$ , where  $\varphi^{[n]}$  denotes the  $n$  times composition of  $\varphi$  with itself. If  $\alpha < 1$ ,  $\mathcal{M}(D_\alpha) \subset H^\infty$ ,  $\mathcal{M}(D_\alpha) \neq H^\infty$  and if  $\varphi : D \rightarrow D$  is an analytic map, it is not obvious that  $C_\varphi$  should take  $D_\alpha$  into itself and there are actually many examples for which it does not, also this is not necessary that  $\varphi \in D_\alpha$  or  $\{\varphi^{[n]}, n \in \mathbb{N}\}$  is bounded in  $D_\alpha$  ( see [34]).

Let  $T$  be a bounded operator on a Hilbert space  $H$ . We recall that  $\|T\|_e$ , the essential norm of  $T$ , is the norm of its equivalence class in the Calkin algebra. Since the spectral radius of the operator  $T^*T$  equals  $\|T^*T\| = \|T\|^2$ , we study



the spectrum of  $T^*T$  when trying to determine  $\|T\|$ . We say that the operator  $T$  is norm-attaining, if there is a nonzero  $h \in H$  such that  $\|T(h)\| = \|T\|\|h\|$ .

The following propositions have shown, further connection between  $\|T\|$  and the spectrum of  $T^*T$ .

**Proposition 1.1.4** *Let  $h$  be an element of Hilbert space  $H$ . Then  $\|T(h)\| = \|T\|\|h\|$  if and only if  $T^*T(h) = \|T\|^2h$ .*

**Proof.** See, [24].□

**Proposition 1.1.5** *If  $\|T\|_e < \|T\|$ , then  $T$  attains its norm at an element of  $H$ .*

**Proof.** See, [24].□

**Theorem 1.1.6** *Suppose that  $\varphi(z) = \frac{az+b}{cz+d}$  is a linear fractional transformation mapping  $D$  into itself, where  $ad - bc \neq 0$ . Then  $\sigma(z) = \frac{\bar{a}z - \bar{c}}{-bz + d}$  maps  $D$  into itself,  $g(z) = \frac{1}{-bz+d}$  and  $h(z) = cz + d$  are in  $H^\infty$ , and the adjoint of  $C_\varphi$  on  $H^2$  has the form*

$$C_\varphi^* = T_g C_\sigma T_h^*.$$

For the definition of  $T_f$ , see Definition 1.1.8.

**Proof.** See, [13].□

**Definition 1.1.7** Let  $P_\alpha : L^p(D, dA_\alpha) \rightarrow A_\alpha^p$ ,  $1 < p < \infty$ , be the Bergman projection. Then  $P_\alpha$  is an integral operator represented by

$$P_\alpha g(z) = \int_D K(z, w)g(w)dA_\alpha(w),$$

where

$$\begin{aligned} K(z, w) &= \frac{1}{(1 - z\bar{w})^{2+\alpha}} \\ &= \sum_{n=0}^{\infty} \frac{\Gamma(n+2+\alpha)}{n!\Gamma(2+\alpha)} (z\bar{w})^n. \end{aligned}$$

Given a function  $f \in L^\infty(D)$ , we define an operator  $T_f$  on  $A_\alpha^p$ , ( $1 < p < \infty$ ) by

$$T_f(g) = P_\alpha(fg).$$

$T_f$  is called the Toeplitz operator on the weighted Bergman space with symbol  $f$ . If we define  $M_f : L^p(D, dA_\alpha) \rightarrow L^p(D, dA_\alpha)$  by  $M_f(g) = fg$ , it is obvious that  $M_f$  is bounded. Since the Bergman projection for  $1 < p < \infty$  is bounded (see [27]), we conclude that  $T_f$  is a bounded operator.

**Definition 1.1.8** Let  $P : L^2(\beta) \rightarrow L^2(\beta)$  be the orthogonal projection onto  $H^2(\beta)$ . By (1.2), if  $g(z) = \sum_{-\infty}^{\infty} \hat{g}(n)z^n$ , then

$$\begin{aligned} Pg(z) &= \langle Pg, K_z \rangle \\ &= \langle g, PK_z \rangle \\ &= \langle g, K_z \rangle \\ &= \left\langle g, \sum_{n=0}^{\infty} \frac{\bar{z}^n w^n}{\beta(n)^2} \right\rangle \\ &= \sum_{n=0}^{\infty} \hat{g}(n)z^n. \end{aligned}$$

Given a function  $f \in L^\infty(\beta)$ , we define an operator  $T_f$  on  $H^2(\beta)$  by

$$T_f(g) = P(fg).$$

$T_f$  is called the Toeplitz operator on the weighted Hardy space with symbol  $f$ . If we define  $M_f : L^2(\beta) \rightarrow L^2(\beta)$  by  $M_f(g) = fg$ , then by [45],  $M_f$  is bounded. Since an orthogonal projection has norm 1, clearly  $T_f$  is bounded.

**Lemma 1.1.9** *A sequence in  $H^2(\beta)$  converges weakly if and only if it is bounded and converges pointwise.*

**Proof.** see [15].□

**Definition 1.1.10** A linear fractional transformation is a mapping of the form

$$T(z) = \frac{az + b}{cz + d},$$

subject to the further condition  $ad - bc \neq 0$  which is necessary and sufficient for  $T$  to be non-constant. We denote the set of all such maps by  $LFT(\hat{\mathbb{C}})$ . Our interest here is in  $LFT(D)$ , the subgroup of  $LFT(\hat{\mathbb{C}})$  consisting of selfmaps of the unit disk  $D$ . Those that takes  $D$  onto itself are called automorphisms. Consideration of normal forms quickly shows that:

(a) Parabolic members of  $LFT(D)$  have their fixed point on  $\partial D$ .

(b) Hyperbolic members of  $LFT(D)$  must have attractive fixed point in  $\bar{D}$ , with the other fixed point outside  $D$ , and lying on  $\partial D$  if and only if the map is an automorphism of  $D$ .

(c) Loxodromic and elliptic members of  $LFT(D)$  have a fixed point in  $D$  and a fixed point outside  $\bar{D}$ . The elliptic ones are precisely the automorphism in  $LFT(D)$  with this fixed point configuration. For more information about these spaces one can see [41].

**Theorem 1.1.11** (*Denjoy-Wolff*) *If  $\varphi$  is not the identity and not an elliptic automorphism of  $D$ , is an analytic map of  $D$  into  $D$ , then there is a point  $a$  in  $\bar{D}$ , so that the iterates  $\varphi^{[n]}$  of  $\varphi$  converge to  $a$  uniformly on compact subsets of  $D$ .*

**Proof.** See [15].□

**Lemma 1.1.12** Let  $\varphi : D \rightarrow D$  be an analytic map which is not an elliptic disc automorphism, and let  $\{\varphi^{[n]}, n \in \mathbb{N}\}$  be bounded in  $H^2(\beta)$ . Let  $a$  be the Denjoy-Wolff point of  $\varphi$ . Then for each fixed positive integer  $l$ ,  $(\varphi^{[n]})^l$  converges to  $a^l$  weakly in  $H^2(\beta)$ .

**proof.** By Theorem 1.1.10,  $(\varphi^{[n]})^l$  converges to  $a^l$  pointwise and by hypothesis  $(\varphi^{[n]})^l$  is bounded in the  $H^2(\beta)$  norm. Hence by Lemma 1.1.9, the proof is complete.  $\square$

In following Theorems 1.1.14 and 1.1.15,  $C_\varphi$  is defined on  $H^2$ .

**Theorem 1.1.13** Suppose that  $\varphi(z) = \frac{b}{cz+d}$  is a self-map of  $D$ , then  $\|C_\varphi\| > \|C_\varphi\|_e$ .

**Proof.** See [4, Corollary 3.10].  $\square$

**Theorem 1.1.14** Suppose that  $\varphi(z) = \frac{b}{d-z}$ , where  $0 < b < d - 1$  and  $\lambda = \|C_\varphi\|^2$ . Then  $\lambda$  is the unique positive number satisfying

$$\sum_{k=0}^{\infty} a_k \left(\frac{1}{\lambda}\right)^{k+1} = 1,$$

where for  $k \geq 0$ ,

$$a_k = \frac{b^{2k}}{d^{2k} + (-1)^k b^{2k} + (-1)^k \sum_{m=1}^{k-1} (-1)^m d^{2m} \sum_{j=0}^{k-m} c_j^{m+j} c_{k-m-j}^{k-1-j} b^{2j}}$$

**Proof.** See [4, Proposition 4.4].  $\square$

**Theorem 1.1.15 (Mergelyan's Theorem)** Suppose  $K$  is a compact set in the plane whose complement is connected. If  $f$  is a continuous complex function on  $K$  which is analytic in the interior of  $K$ , and if  $\epsilon > 0$ , then there is a polynomial  $p$  such that  $|p(z) - f(z)| < \epsilon$  for all  $z$  in  $K$ .

**Proof.** See [40, Theorem 20.5].  $\square$